



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventive application of: DeWitt, Jr. et al.

Serial No.: 10/757,197

Filed: January 14, 2004

For: **Method and Apparatus for
Optimizing Code Execution Using
Annotated Trace Information Having
Performance Indicator and Counter
Information**

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

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Group Art Unit: 2192

Examiner: Dam, Tuan Quang

Attorney Docket No.: AUS920030556US1

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AP; and
- Our return postcard.

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Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DeWitt, Jr. et al.

Serial No: **10/757,197**

Filed: **January 14, 2004**

**Title: Method and Apparatus for Optimizing
Code Execution Using Annotated Trace
Information Having Performance Indicator
and Counter Information**

Group Art Unit: **2192**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

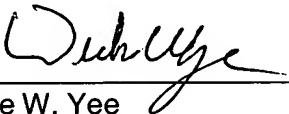
While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

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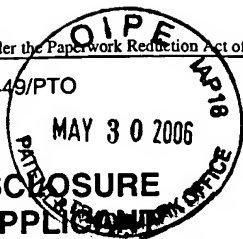
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**



Complete if Known

Application Number	10/757,197
Filing Date	01-14-2004
First Named Inventor	DeWitt, Jr. et al.
Examiner Name	Dam, Tuan Quang
Art Unit	2192
Attorney Docket No.	AUS920030556US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5394529	02-28-1995	Brown, III et al.	
AB	6233679 B1	05-15-2001	Holmberg	
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FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AP	JEONG et al., "Cost Sensitive Cache Replacement Algorithms", June 2002, Second Workshop on Caching, Coherence and Consistency, NY, pp. 1-14.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.